

CUSTOMER CLAIM

Claim Number \_\_\_\_\_

Date Received \_\_\_\_\_

BERNARD L. MADOFF INVESTMENT SECURITIES LLC

In Liquidation

DECEMBER 11, 2008

Irving H. Picard, Esq.  
Trustee for Bernard L. Madoff Investment Securities LLC  
Claims Processing Center  
2100 McKinney Ave., Suite 800  
Dallas, TX 75201

Provide your office and home telephone no.

OFFICE: 1-941-586-7306

HOME: 1-941-986-2091

Taxpayer I.D. Number (Social Security No.)

Redacted 8576



Account Number: 1K0138

NTC & CO.

FBO LESTER KOLODNY (111303)

P O BOX 173859

DENVER, CO 80217

IRA ACCOUNT

(If incorrect, please change)

**NOTE: BEFORE COMPLETING THIS CLAIM FORM, BE SURE TO READ CAREFULLY THE ACCOMPANYING INSTRUCTION SHEET. A SEPARATE CLAIM FORM SHOULD BE FILED FOR EACH ACCOUNT AND, TO RECEIVE THE FULL PROTECTION AFFORDED UNDER SIPA, ALL CUSTOMER CLAIMS MUST BE RECEIVED BY THE TRUSTEE ON OR BEFORE March 4, 2009. CLAIMS RECEIVED AFTER THAT DATE, BUT ON OR BEFORE July 2, 2009, WILL BE SUBJECT TO DELAYED PROCESSING AND TO BEING SATISFIED ON TERMS LESS FAVORABLE TO THE CLAIMANT. PLEASE SEND YOUR CLAIM FORM BY CERTIFIED MAIL - RETURN RECEIPT REQUESTED.**

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1. Claim for money balances as of **December 11, 2008**:

a. The Broker owes me a Credit (Cr.) Balance of \$ 60.00

b. I owe the Broker a Debit (Dr.) Balance of \$ \_\_\_\_\_

- c. If you wish to repay the Debit Balance,  
please insert the amount you wish to repay and  
attach a check payable to "Irving H. Picard, Esq.,  
Trustee for Bernard L. Madoff Investment Securities LLC."

If you wish to make a payment, **it must be enclosed**

with this claim form. \$ \_\_\_\_\_

- d. If balance is zero, insert "None." \_\_\_\_\_

2. Claim for securities as of **December 11, 2008**:

**PLEASE DO NOT CLAIM ANY SECURITIES YOU HAVE IN YOUR POSSESSION.**

- |   | YES<br>_____ | NO<br>_____ |
|---|--------------|-------------|
| a. The Broker owes me securities        | ✓<br>_____   | _____       |
| b. I owe the Broker securities          | _____        | ✓<br>_____  |
| c. If yes to either, please list below: |              |             |

Date of Transaction (trade date)	Name of Security	Number of Shares or Face Amount of Bonds	
		The Broker Owes Me (Long)	I Owe the Broker (Short)
11-30-08	See ATTACHED STATEMENT	\$ 933,152.76	
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____







Proper documentation can speed the review, allowance and satisfaction of your claim and shorten the time required to deliver your securities and cash to you. Please enclose, if possible, copies of your last account statement and purchase or sale confirmations and checks which relate to the securities or cash you claim, and any other documentation, such as correspondence, which you believe will be of assistance in processing your claim. In particular, you should provide all documentation (such as cancelled checks, receipts from the Debtor, proof of wire transfers, etc.) of your deposits of cash or securities with the Debtor from as far back as you have documentation. You should also provide all documentation or

**information regarding any withdrawals you have ever made or payments received from the Debtor.**

Please explain any differences between the securities or cash claimed and the cash balance and securities positions on your last account statement. If, at any time, you complained in writing about the handling of your account to any person or entity or regulatory authority, and the complaint relates to the cash and/or securities that you are now seeking, please be sure to provide with your claim copies of the complaint and all related correspondence, as well as copies of any replies that you received.

**PLEASE CHECK THE APPROPRIATE ANSWER FOR ITEMS 3 THROUGH 9.**

**NOTE: IF "YES" IS MARKED ON ANY ITEM, PROVIDE A DETAILED EXPLANATION ON A SIGNED ATTACHMENT. IF SUFFICIENT DETAILS ARE NOT PROVIDED, THIS CLAIM FORM WILL BE RETURNED FOR YOUR COMPLETION.**

	<u>YES</u>	<u>NO</u>
3. Has there been any change in your account since December 11, 2008? If so, please explain.	_____	_____ 
4. Are you or were you a director, officer, partner, shareholder, lender to or capital contributor of the broker?	_____	_____ 
5. Are or were you a person who, directly or indirectly and through agreement or otherwise, exercised or had the power to exercise a controlling influence over the management or policies of the broker?	_____	_____ 
6. Are you related to, or do you have any business venture with, any of the persons specified in "4" above, or any employee or other person associated in any way with the broker? If so, give name(s)	_____	_____ 
7. Is this claim being filed by or on behalf of a broker or dealer or a bank? If so, provide documentation with respect to each public customer on whose behalf you are claiming.	_____	_____ 
8. Have you ever given any discretionary authority to any person to execute securities transactions with or through the broker on your behalf? Give names, addresses and phone numbers.	_____	_____ 

9. Have you or any member of your family  
ever filed a claim under the Securities  
Investor Protection Act of 1970? if  
so, give name of that broker. \_\_\_\_\_ ✓

Please list the full name and address of anyone assisting you in the  
preparation of this claim form: \_\_\_\_\_

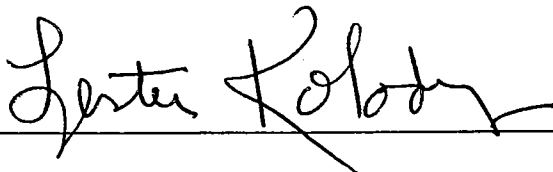
If you cannot compute the amount of your claim, you may file an estimated claim. In that  
case, please indicate your claim is an estimated claim.

**IT IS A VIOLATION OF FEDERAL LAW TO FILE A FRAUDULENT CLAIM.  
CONVICTION CAN RESULT IN A FINE OF NOT MORE THAN \$50,000 OR  
IMPRISONMENT FOR NOT MORE THAN FIVE YEARS OR BOTH.**

**THE FOREGOING CLAIM IS TRUE AND ACCURATE TO THE BEST OF MY  
INFORMATION AND BELIEF.**

Date 1-21-09

Signature



Date \_\_\_\_\_

Signature \_\_\_\_\_

(If ownership of the account is shared, all must sign above. Give each owner's name,  
address, phone number, and extent of ownership on a signed separate sheet. If other  
than a personal account, e.g., corporate, trustee, custodian, etc., also state your capacity  
and authority. Please supply the trust agreement or other proof of authority.)

**This customer claim form must be completed and mailed promptly,  
together with supporting documentation, etc. to:**

Irving H. Picard, Esq.,  
Trustee for Bernard L. Madoff Investment Securities LLC  
Claims Processing Center  
2100 McKinney Ave., Suite 800  
Dallas, TX 75201



**BERNARD L. MADOFF**  
INVESTMENT SECURITIES LLC  
New York ☐ London

**\*\*DUPLICATE\*\* FOR ACCOUNT NTC & CO.**  
LESTER KOLODNY

885 Third Avenue  
New York, NY 10022  
(212) 230-2424  
800 334-1343  
Fax (212) 838-4061

632 CRAINE PRAIRIE WAY  
OSPREY FL 34229

YOUR ACCOUNT NUMBER  
1-K0138-4-0

PERIOD ENDING  
11/30/08

YOUR TAX PAYER IDENTIFICATION NUMBER  
\*\*\*\*\*6253

PAGE  
1

Affiliated with  
Maddoff Securities International Limited  
12 Berkeley Street  
Mayfair, London W1J 8DT  
Tel 020 7493 6222

DATE	BOUGHT RECEIVED	SOLD DELIVERED	TIN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
11/12		19	43490	BALANCE FORWARD	15.800		55,215.00
11/12	19		47816	S & P 100 INDEX NOVEMBER 460 CALL	17.800	33,839.00	30,001.00
11/19		19	33920	NOVEMBER 450 PUT	26		49,381.00
11/19	19		38245	S & P 100 INDEX DECEMBER 430 CALL	30	57,019.00	
11/19		19	42570	DECEMBER 420 PUT	3	5,719.00	
11/19	19		46895	S & P 100 INDEX NOVEMBER 460 CALL	37		70,281.00
		19		S & P 100 INDEX NOVEMBER 450 PUT			108,301.00
		19		NEW BALANCE			
		19		SECURITY POSITIONS	MKT PRICE		
				S & P 100 INDEX	23.300		
				DECEMBER 430 CALL			
				S & P 100 INDEX	16.500		
				DECEMBER 420 PUT			
				MARKET VALUE OF SECURITIES			
				LONG	31,350.00		
				SHORT	44,270.00-		



**BERNARD L. MADOFF**  
INVESTMENT SECURITIES LLC  
New York □ London

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632 CRAINE PRAIRIE WAY  
OSPREY FL 34229

YOUR ACCOUNT NUMBER:  
1-K0138-3-0

11/30/08

Page 2

\*\*\*\*\*6253

DATE	BOUGHT RECEIVED	SOLD DELIVERED	TRF#	DESCRIPTION	PRICE OR SYMBO.	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
11/12	684		74274	COMCAST CORP CL A	16.510	11,319.84	
11/12	1,349		78098	AVET INC	27	36,476.00	
11/12	342		78600	CONOCOPHILIPS	52.510	17,971.42	
11/12	228		82424	UNITED PARCEL SVC INC	52.040	11,874.12	
11/12	1,387		82926	CLASS B CISCO SYSTEMS INC	16.730	23,259.51	
11/12	399		86450	U S BANCORP	29.530	11,797.47	
11/12	475		87252	CHEVRON CORP	73.430	34,898.25	
11/12	228		91076	UNITED TECHNOLOGIES CORP	53.160	12,129.48	
11/12	2,413		91578	GENERAL ELECTRIC CO	19.630	47,463.19	
11/12	646		95402	VERIZON COMMUNICATIONS	30.410	19,669.86	
11/12	57		95904	GOOGLE	337.400	19,233.80	
11/12	798		99128	WELLS FARGO & CO NEW	29.800	23,811.40	
11/12		875,000	22339	U S TREASURY BILL DUE 2/12/2009	99.936	874,440.00	
11/12				2/12/2009 FIDELITY SPARTAN U S TREASURY MONEY MARKET DIV 11/12/08	DIV	18.85	
11/12		28,929	17439	FIDELITY SPARTAN U S TREASURY MONEY MARKET	1	28,929.00	
11/12	39,083		26808	FIDELITY SPARTAN U S TREASURY MONEY MARKET	1	39,083.00	
11/19				FIDELITY SPARTAN U S TREASURY MONEY MARKET DIV 11/19/08	DIV	4.80	
				CONTINUED ON PAGE 3			

**BERNARD L. MADOFF**  
INVESTMENT SECURITIES LLC  
New York ☐ London

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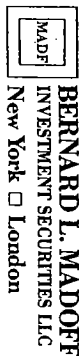
PERIOD ENDING  
11/30/08

PAGE  
3

YOUR ACCOUNT NUMBER  
1-K0138-3-0

YOUR TAX PAYER IDENTIFICATION NUMBER  
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DATE	BOUGHT RECEIVED	SOLD DELIVERED	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
11/19		39,083	52226	FIDELITY SPARTAN U.S. TREASURY MONEY MARKET	1		39,083.00
11/19	75,000		56789	U.S. TREASURY BILL DUE 03/26/2009	99.926	74,944.50	
11/19	21,067		61265	FIDELITY SPARTAN U.S. TREASURY MONEY MARKET 3/26/2009	1	21,067.00	
				NEW BALANCE		108,300.34	
	1,349			SECURITY POSITIONS	MKT PRICE		
	361			AT&T INC	28.560		
	247			ABBOTT LABORATORIES	52.390		
	209			AMGEN INC	55.540		
	1,140			APPLE INC	92.670		
	475			BANK OF AMERICA	16.250		
	1,387			CHEVRON CORP	79.010		
	1,235			CISCO SYSTEMS INC	16.540		
	456			CITIGROUP INC	8.290		
	684			GOEA COLA CO	46.870		
	342			COMCAST CORP	17.340		
	1,197			CL A			
	2,413			CONOCOPHILIPS	52.520		
				EXXON MOBIL CORP	80.150		
				GENERAL ELECTRIC CO	17.170		
				CONTINUED ON PAGE 4			



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YOUR ACCOUNT NUMBER <b>1-K0138-3-0</b>	PERIOD ENDING <b>11/30/08</b>	PAGE <b>4</b>
YOUR TAX PAYER IDENTIFICATION NUMBER <b>*****6253</b>		

DATE	BOUGHT RECEIVED	SOLD DELIVERED	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
57	570			GOOGLE	292.960		
1,311	570			HEWLETT PACKARD CO	35.280		
323	1,311			INTEL CORP	13.800		
855	323			INTERNATIONAL BUSINESS MACHS	81.600		
627	855			J.P. MORGAN CHASE & CO	31.660		
266	627			JOHNSON & JOHNSON	58.580		
494	266			MCDONALDS CORP	58.750		
1,805	494			MERCK & CO	26.720		
912	1,805			MICROSOFT CORP	20.220		
361	912			ORACLE CORPORATION	16.090		
1,539	361			REPSICO INC	56.700		
475	1,539			PFIZER INC	16.430		
684	475			PHILLIP MORRIS INTERNATIONAL	42.160		
380	684			PROCTER & GAMBLE CO	64.350		
285	380			QUALCOMM INC	33.570		
21,067	285			SCHUMBERGER LTD	50.740		
399	21,067			FIDELITY SPARTAN	1		
228	399			U.S. TREASURY MONEY MARKET	26.980		
75,000	228			U.S. BANCORP	57.600		
228	75,000			UNITED PARCEL SVC INC	99.971		
	228			CLASS B			
				U.S. TREASURY BILL			
				DUE 03/26/2009			
				3/26/2009			
				UNITED TECHNOLOGIES CORP	48.530		
				CONTINUED ON PAGE 5			





DATE	BOUGHT RECEIVED	SOLD DELIVERED	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
	646			VERIZON COMMUNICATIONS	32.650		
	494			WAL-MART STORES INC	55.880		
	798			WELLS FARGO & CO NEW	28.890		
				MARKET VALUE OF SECURITIES			
				LONG			
				933,152.76			
				SHORT			

[illegible]



NEW YORK  
LOS ANGELES  
TAMPA  
DETROIT

Joshua H. Vinik  
Direct Dial: 212-946-9372  
jvinik@milberg.com

June 25, 2009

VIA FEDERAL EXPRESS

Irving H. Picard, Esq.  
Trustee for Bernard L. Madoff  
Investment Securities LLC  
Claims Processing Center  
2100 McKinney Avenue  
Suite 800  
Dallas, TX 75201

Re: *Bernard L. Madoff Investment Securities LLC*  
Claim of Lester Kolodny, Acct. No. 1K0138  
Claim Number: 1066

Dear Mr. Picard:

We represent Lester Kolodny in the above-referenced liquidation proceeding. We write to supplement Mr. Kolodny's Customer Claim Form, which was previously submitted to you, with the enclosed Exhibit A.

Should you have any questions, please contact the undersigned.

Sincerely,

A handwritten signature in black ink, appearing to read "Joshua H. Vinik", written over the typed name.

Joshua H. Vinik

Enclosures

**EXHIBIT A TO CLAIM FORM OF**  
**LESTER KOLODNY, ACCT. NO. 1K0138**

1. The Claim Form and supporting documentation (collectively "Claim Form") was submitted pursuant to the December 23, 2008 Order of the Honorable Burton R. Lifland and the instructions disseminated by Irving H. Picard, Trustee for Bernard L. Madoff Investment Securities LLC ("Trustee"), on December 11, 2008.
2. The information provided in the Claim Form is based on information provided in the Claimant's latest Madoff account statement and additional information known by the Claimant as of the date of the submission of the Claim Form. The Claimant reserves the right to amend and/or supplement this Claim Form upon the receipt of further information, or upon request by the Trustee for additional information.
3. The Claimant reserves the right to amend the Claim Form in the event of any recoveries by the Trustee or any other party under the avoidance powers of the Bankruptcy Code or otherwise, or in the event of rejections of executory contracts pursuant to Bankruptcy Code Section 365, whether such amendments are made pursuant to Bankruptcy Code Sections 105, 502(g), or 502(h), Bankruptcy Rule 3002(c)(3), (4), other provisions of applicable bankruptcy law, or general principles of law or equity.
4. The Claimant hereby requests that the Claim Form additionally be considered as a proof of claim, as a general creditor or otherwise, in *In re Bernard L. Madoff Investment Securities LLC*, No. 08-01789 (Bankr. S.D.N.Y.).
5. The Claim Form was required to be submitted pursuant to the Court's January 2, 2009 Order and the Trustee's instructions to the Claimant. To the extent permitted by applicable law, the Claimant does not, by submitting the Claim Form, consent to the jurisdiction of the Bankruptcy Court nor does the Claimant waive any right to trial by jury.
6. The Claimant reserves all rights, claims, and/or defenses as to and/or against any and all parties potentially liable for the losses sustained by the Claimant, including, without limitation, Bernard L. Madoff Investment Securities LLC and its owners, partners, employees, and affiliates, as well as any potentially liable third parties including, without limitation, investment advisors, "feeder funds," accountants, and auditors.
7. The Claimant further reserves all rights, claims, and/or defenses as to and/or against any persons and/or creditors asserting claims against Bernard L. Madoff Investment Securities LLC, its employees, owners, and/or affiliates, in bankruptcy or otherwise.

8. The Claimant reserves all objections as to the competence, relevance, materiality, privilege, or admissibility of evidence in any subsequent proceeding or trial of this or any other action for any purpose whatsoever, notwithstanding the submission of any such information to the Trustee.
9. To the extent the Claimant has disclosed to the Trustee documents containing accounting and/or legal advice, the Claimant does not waive any potential privileges applicable thereto.
10. The Claimant reserves all rights with respect to submitting information to the Internal Revenue Service regarding gains, losses, and/or theft of assets.
11. The Claim Form and supporting documentation contain confidential information. The Claimant has submitted this information to the Trustee subject to the condition that this information will not to be disclosed to any third parties, other than under seal to the Court, absent the Claimant's express consent or Court order.
12. To the extent the BMIS account for which this Claim Form was submitted contains or purports to contain funds from a "predecessor" or other BMIS account, the Claimant reserves all rights, claims, and defenses as to any such predecessor or other BMIS account. To the extent necessary or appropriate, the Claim Form is likewise submitted as a claim for and on behalf of any such predecessor or other BMIS account.
13. The Claimant submitted documents in support of the Claimant's claim. The Claimant reserves any arguments that such documents are not relevant to the Trustee's inquiry. The Claimant further reserves the right to supplement the submission, including the submission of additional documents, if deemed necessary.

Please send all correspondence to:

Joshua H. Vinik  
Milberg LLP  
One Pennsylvania Plaza  
48th Floor  
New York, NY 10119  
Phone: (212) 946-9372  
Fax: (212) 868-1229

Attorney for Lester Kolodny